A Comprehensive Framework for Differentiating Autism Spectrum Disorder From Neurotypicals by Fusing Structural MRI and Resting State Functional MRI

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# A Comprehensive Framework for Differentiating Autism Spectrum Disorder From Neurotypicals by Fusing Structural MRI and Resting State Functional MRI



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Autism spectrum disorder is a neurodevelopmental disorder characterized by impaired social abilities and communication difficulties. The golden standard for autism diagnosis in research rely on behavioral features, for example, the autism diagnosis observation schedule, the Autism Diagnostic Interview-Revised. In this study we introduce a computer-aided diagnosis system that uses features from structural MRI (sMRI) and resting state functional MRI (fMRI) to help predict an autism diagnosis by clinicians. The proposed system is capable of parcellating brain regions to show which areas are most likely affected by autism related abnormalities and thus help in targeting potential therapeutic interventions. When tested on 18 data sets (n = 1060) from the ABIDE consortium, our system was able to achieve high accuracy (sMRI 0.75-1.00; fMRI 0.79-1.00), sensitivity (sMRI 0.73-1.00; fMRI 0.78-1.00), and specificity (sMRI 0.78-1.00; fMRI 0.79-1.00). The proposed system could be considered an important step toward helping physicians interpret results of neuroimaging studies and personalize treatment options. To the best of our knowledge, this work is the first to combine features from structural and functional MRI, use them for personalized diagnosis and achieve high accuraces on a relatively large population.

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# Introduction

A utism spectrum disorder (ASD) is a neurodevelopmental disorder characterized by social and behavioral impairments.<sup>1</sup> Previous work has reported a correlation between the

Address reprint requests to Ayman El-Baz, Department of Bioengineering, University of Louisville, Lutz Hall Suite 419, Louisville, KY 40292. E-mail: ayman.elbaz@louisville.edu severity of autism and both functional activation and anatomical abnormalities.<sup>2</sup> Structural MRI (sMRI) is the most commonly used imaging modality for screening anatomical anomalies in both research and clinical practice.<sup>3</sup> By way of contrast, changes in blood flow, putatively related to neuronal activation, is assessed using functional MRI (fMRI).<sup>4</sup>

When studying structural MRIs, 2 main elements lend themselves to analysis (1) shape and (2) volumetric features. Structural MRI study uses features derived from one or both. A study conducted on 60 autistic and 52 typically developed (TD) subjects analyzed anatomical anomalies in cerebral and cerebellar volumes of autistic brains.<sup>5</sup> In this study, 50% of autistic participants were in the age of 5 years or older (group 1) and the rest were in the age range of 2-4 years (group 2). Ninety percent of the participants within group 2 showed brain volumes larger

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than normal. The result was supported by a subsequent study,<sup>5</sup> using the same patient population, that found an increase in the cerebellar white matter volume in autistic subjects (group 2) as compared to controls. Other studies have emphasized that the cerebral hemispheres can remain enlarged during adulthood.<sup>6</sup> It was suggested that the main areas of brain enlargement appear to be the frontal, temporal, and parietal lobes.<sup>7-9</sup>

More insightful results were achieved when considering changes in cortical volume (CV) to be the result of the product of 2 independent parameters: cortical thickness (CT) and surface area (SA).<sup>10</sup> The study analyzed 3 parameters (cortical volume, CT, and SA) and found a significant increase in the CT of ASD subjects as compared to TD individuals in the frontal lobe regions. By contrast, the SA in the orbitofrontal cortex and posterior cingulum in the autistic subjects was reduced as compared to the control group. Further study based on those 3 parameters reported similar results.<sup>11</sup> The study of longitudinal changes in cortical thickness allows the identification of specific regional differences in the CT. One such study, discovered that the most significant differences in CT between ASD and TD individuals of the same mean age were in the bilateral inferior frontal gyrus, pars opercularis, pars triangularis, right caudal middle frontal, and left rostral middle frontal regions.<sup>12</sup> However, significant differences in other brain regions have also been reported. For instance, autistic subjects have displayed a larger amygdala than TD subjects.<sup>13</sup> During examining the areas that are believed to be responsible for the social cognitive functions in particular, (1) facial recognition (right fusiform gyrus), (2) perception and eye gaze (superior temporal gyrus), and (3) mental state attribution (anterior cingulate and superior temporal sulcus), a significant increase in the gray matter volume was observed in these brain regions.<sup>14</sup> Another study examining the cerebellum, fusiform gyrus, and frontal cortex<sup>15</sup> found increased gray matter volume in the cerebellum.

One of the main technological advancements introduced to differentiate between the neurotypical and autistic brains is shape-based analysis. One widely studied morphometric parameter has been the gyrification index (GI), which has been used as a quantitative measure of cortical folding for shape analysis.<sup>16,17</sup> Calculated from MRI slices, GI is the ratio between total contour length and outer contour length of a gyrus. It was reported that the GI in the left frontal area is larger in ASD adolescents and children compared to TD peers.<sup>17</sup> It has also been noted that the GI decreases with age in ASD individuals while it does not in TD subjects. An additional supporting result for increased GI in ASD individuals was a reported increased gyrification in the bilateral posterior cortices.<sup>18</sup> In addition, it has been noted that typically developing individuals showed a positive correlation between gyrification and vocabulary knowledge in the left inferior parietal cortex while no similar correlation was found in autistic individuals. An additional example of folding analysis introduced 6 folding measures used to discriminate between ASD and TD groups.<sup>19</sup> These 6 folding measures were (1) Shape index, (2) Curvedness, (3) Isoperimetric ratio, (4) Convexity ratio, (5) Intrinsic curvature index, and (6) Mean curvature norm. Increased folding was noted in the temporal,

frontal, and parietal lobes of ASD individuals as compared to TD controls. This increased folding was more prominent in children than adults. A more recent study extracted 7 features from a reconstructed brain mesh to address the curvature abnormalities.<sup>20</sup> The examined features included (1) mean curvature, (2) thickness, (3) Gaussian curvature, folding index, (5) standard deviation, (6) volume, and (7) SA. The study noted that diagnostic accuracy could be increased when clinical features were added to their classification algorithm. Another study used surface-based morphometry to examine the cortical shape abnormalities in low- and highfunctioning ASD individuals.<sup>21</sup> To analyze such abnormalities, sulcal depth was used as a quantitative measure. In both low-functioning and high-functioning ASD individuals, similar abnormalities in sulcal depth were observed mainly in the frontal operculum and anterior insula, with slightly smaller size noted in high-functioning ASD subjects. Also, the inferior frontal gyrus had shape abnormalities that were centered near the ventral postcentral gyrus and parietal operculum. Sulcal depth differences were also reported for the anteriorinsula and temporoparietal junction in both ASD and TD groups.<sup>22</sup> Temporal and frontal areas exhibited the most significant abnormalities, specifically for the social and language regions in autistic groups, which were severely affected. An investigation of brain shape differences using the GI index reported a prominent increase in gyrification around the postcentral and left pre-gyrus in ASD individuals.<sup>23</sup>

To study the brain functional activation anomalies, analysis of the fMRI modality is performed by applying 2 major types of experiments, (1) resting state fMRI (RfMRI) and (2) task-based fMRI.<sup>24</sup> The underconnectivity theory was first introduced as a way of explaining both the neurobiological and cognitive abnormalities observed in ASD.<sup>25</sup> Reduced synchronized brain activity is a main hallmark for cognitive disorders.

Task-based approaches are studied to identify brain areas activated in response to certain tasks. An example of such tasks was a visual figures experiment,<sup>26</sup> which identified lower activation in the inferior parietal and the left dorsolateral prefrontal areas, and higher activation bilaterally in the superior parietal and the right occipital (visuospatial) areas of ASD subjects. Another example is the response to facial expressions,<sup>27</sup> where higher activation in the ventral prefrontal cortex, striatum, and amygdala was reported for autistic subjects.

Another paradigm studied is the rewards task, in which the brain activity is monitored in response to rewards, for example, social reward or monetary rewards.<sup>28,29</sup> ASD individuals were reported to have more activation in the anterior cingulate gyrus and left mid-frontal gyrus and less activation in the right nucleus accumbens during the response to social and monetary rewards.<sup>30</sup>

Studies of resting state fMRI investigate alterations in brain connectivity between TD and ASD groups.<sup>31</sup> In a recent study, a machine learning algorithm based on a multivariate autoregressive model was used to study functional connectivity.<sup>32</sup> The study found that ASD subjects had reduced functional connectivity; results which also lend credence to the theory of underconnectivity in autism. Similarly, reduced functional connectivity in the superior parietal and

visuospatial areas was reported in ASD as compared to TD.<sup>33</sup> Another study showed reduced connectivity in both the temporal and frontal cortex, while no global abnormalities were detected.<sup>34</sup> Abnormalities in the functional networks were reported as being more evident in social information processing related networks.<sup>35</sup>

ASD have not only shown results that indicate brain underconnectivity, as in the previous studies, but also increased connectivity for some areas compared to healthy control subjects.<sup>36</sup> The research studied alternations of functional connectivity patterns, specifically the interhemispheric connectivity analysis, and showed both decreased and increased connectivity in ASD subjects. Another supporting study reported hyperconnectivity in autistic children with more severe social dysfunction.<sup>37</sup> The presence of altered connectivity was also confirmed.<sup>38</sup> Both hypoconnectivity and hyperconnectivity were present in ASD subjects.

In addition to reporting global differences between ASD and TD groups, resting state connectivity patterns demonstrated promising results in diagnosing many diseases for example, Alzheimer's disease and schizophrenia.<sup>39,40</sup> A recent study used functional connectivity analysis with the deep neural network to diagnose autism.<sup>41</sup> It fed the classification network input with the functional connectivity correlation matrix. This experiment achieved an accuracy of 70%.

The marked clinical heterogeneity noted in autism suggests the importance of considering both the anatomical and physiological characteristics of individual subjects when entertaining a possible diagnosis. We believe that combining both sMRI and fMRI will bear results that correlate more closely with the autistic behavior, thus improving diagnostic sensitivity, accuracy, and specificity. In the proposed system, functional and anatomical features are used by a machine-learning algorithm to help clinicians establish a personalized autism diagnosis.

# **Material and Methods**

In this study, the data for both structural and functional MRI was obtained from ABIDE I dataset (http://fcon\_1000.proj ects.nitrc.org/indi/abide/abide\_I.html). The ABIDE I was collected from 18 different sites with total number of subjects n = 1060 (561 autistic and 521 TD). The demographics of the subjects are provided in their respective tables. Demographic data includes the age, gender, full scale IQ, performance IQ, verbal IQ and hand dominance for each group. The scanning parameters of both structural and functional MRI are given. These parameters include scanner type, scanning protocol, repetition time, echo time, flipping angle, and experiment duration for fMRI. All of the information is publicly available in the ABDIE dataset website. Figure 1 illustrates the whole pipeline of the proposed methodology in this study.

### Structural MRI Experiment

The sMRI study was divided into 2 main parts (1) data preprocessing, brain segmentation, and cortex reconstruction and (2) extraction of both volumetric and morphological features. The preprocessing steps could be summarized as follows:

# Preprocessing, Brain Segmentation and Cortex Reconstruction

- Intensity normalization<sup>42</sup>: In this step, a nonparametric model is used to correct intensity nonuniformities. This model does not require any prior information about tissues classification in the image. This step is important in order to overcome the variability of scanners effect.
- Skull stripping<sup>43</sup>: In this step, brain extraction tool algorithm is used. This algorithm combines both watershed algorithm and deformable surface model.<sup>43</sup>

#### Brain Segmentation and Area Labeling

In this step, a combined model, that uses both shape and intensity models, is used to segment brain tissues into gray matter, white matter and Cerebrospinal fluid (CSF).<sup>44,45</sup>



Figure 1 The overall pipeline of the proposed approach, for each modality preprocessing and analysis is applied to calculate the feature matrix. The features of the modality are fed to a local classifier, modality fusion decision is then calculated and finally overall diagnosis decision is reported using both sMRI and fMRI decisions. (Color version of figure is available online.)

Table 1	The Eight Extracted Features and Their	r Description for
the sN	/IRI Experiment	

Feature	e Description
SA	Surface area per DK atlas area
V	Volume per DK atlas area
Т	Average thickness per DK atlas area
Tstd	Standard deviation of thickness in DK atlas area
MCI	Mean of the 2 principal curvature per DK atlas area
ĸ	Mean of Gaussian curvature per DK atlas area
ICI	Mean of intrinsic curvature per DK atlas area
FI	Average of folding index index per DK atlas area

Having the preprocessing and segmentation completed, a second set of steps is applied for cortex reconstruction and anatomical atlas parcellation to an anatomical template.

- Defining the gray-white matter boundary<sup>46,47</sup>: In this step, the gray-white matter surface is accurately detected by correcting the topological defects by iterative opening and sealing algorithm.
- 2. Surface inflation and spherical atlas registration<sup>48,49</sup>: In this step, a 3D sphere is constructed and the cortical surface is inflated on this sphere. The surface is then parameterized to fit on the sphere to create a spherical based coordinate system.
- Cortical surface parcellation to the Desikan-Killiany atlas<sup>50</sup>: In this step, the brain is parcellated to 68 areas (34 areas per hemisphere)

After completing the above steps, 8 features are calculated for each of the 34 hemisphere areas. The 8 calculated features for each Desikan-Killiany atlas area are displayed in Table 1.

In this study, the FreeSurfer pipeline was used for all of the preprocessing and feature extraction steps mentioned above. FreeSurfer is a widely used tool for MRI analysis and it is freely available for download (http://surfer.nmr.mgh.harvard.edu/).

Since the data are collected from multiple sites and with different criteria, possible variability may be accounted by some confounding variables. For example, age mismatch or IQ differences may affect the calculated features values. To address such problem, a per-subject normalization algorithm was utilized. This algorithm uses the differences between couple of areas (Delta matrix) instead of using the value from each area. In this way, the individual abnormalities are better addressed. By building this delta matrix the feature value per area is compared to the other values within the subject, then this difference is used for intrasubject variability. For each feature, a  $68 \times 68$  matrix is constructed. This leads to feature matrix of size  $68 \times 68 \times 8$  per subject. The pipeline of sMRI experiment is shown in Figure 2.

# fMRI Experiment

In order to examine the functional connectivity between different brain areas, the correlation between the activation courses is then used as a measure of functional connectivity. The data used in this experiment are the preprocessed data provided by the ABIDE dataset. The selected preprocessing pipeline is the Connectome Computation System pipeline, where the first 4 volumes are dropped; slice timing correction, motion correction, and intensity normalization are performed. To overcome the subject's motion-related confounding variables, 24 motion parameters are used as regressors. To remove their effect, the mean white matter and CSF are also regressed out. Finally, the fMRI volumes are registered to MNI-152 standard space. The registration consists of 2 stages. First, each fMRI volume is registered to the corresponding structural T1-weighted volume, and then the structural volume is registered to the standard space image.

In order to study the coherence between different brain areas, the functional connectivity was selected to be the feature of interest in this study. The reason behind this selection is its ability to identify the intrinsic functional network in the brain. As mentioned in the introduction, the alternation in connectivity within and between the networks has been reported to be of use for diagnosing autism.<sup>51</sup>

Since we are using in this study the AAL cortical parcellation, the functional connectivity matrix is constructed between each pair of its 116 areas. The Pearson correlation coefficient ( $\rho$ ) is used to calculate the functional connectivity between each pair of areas in the atlas. Figure 3 shows how to construct the connectivity feature matrix.

# Features Extraction for sMRI Data







## Features Extraction of fMRI Data

**Figure 3** For each couple of areas the correlation coefficient between the time courses is calculated to form  $1.68 \times 86$  feature matrix. (Color version of figure is available online.)

#### Local and Global Analysis

In this study, the same classification pipeline is applied to both sMRI and fMRI. As mentioned above, the fMRI features are a  $116 \times 116$  connectivity matrix, *F* per subject.

$$\mathbf{F} = \begin{bmatrix} \rho_{1,1} & \rho_{1,2} & \cdots \\ \vdots & \ddots & \\ \rho_{n,1} & & \rho_{116,116} \end{bmatrix}$$
(1)

Where  $\rho_{i, j}$  is the correlation coefficient between the activation time courses in *i*<sup>th</sup> and *j*<sup>th</sup> areas and *n* is the index of areas (n = 116). The constructed feature matrix for sMRI features *S* is a 68 × 68 × 8 matrix per subject, where each element is the difference between the feature value at *i*<sup>th</sup> and *j*<sup>th</sup> areas.

$$S_{f} = \begin{bmatrix} \Delta_{1,1,f} & \Delta_{1,2,f} & \cdots \\ \vdots & \ddots & \\ \Delta_{68,1,f} & \Delta_{68,68,f} \end{bmatrix}$$
(2)

Where  $S_f$  is the feature matrix of the feature f and  $S_{i, j, f}$  is the difference in the values of feature f between areas i and j.

For each of the elements in both feature matrices, this element is fed to a local classifier first. Both the accuracy and the output probability of each feature belonging to an autism class are calculated from the local classifiers. The selected local classifiers in this study is K-nearest neighbors classifiers with number of k = 7. After finishing the local classification phase, the features for each modality are sorted with respect to the local classification accuracy achieved in the local classification step.

After completing the local analysis, the second step is to use the sorted list of feature vectors of both sMRI and fMRI for the per-modality analysis. In this step, an incremental approach is used, where a single feature from the sorted list is added at time to the used feature vector. After adding each feature, we run our cross validation for the whole system and the accuracy is recorded. In this step, a random forest classifier is used. To adjust the hyperparameters of the random forest (number of estimators and maximum depth of the tree) a grid search is used. The optimal cutoff is obtained by trying the top 100 features in the sorted feature list until reaching the maximum cross validation accuracy.



**Figure 4** The 2 stages classification approach used. In the first stage, a local classification per each feature in sMRI and fMRI feature matrices is used. The output accuracies of the first stage are used to create a sorted feature vectors. An incremental approach is used to determine the optimal length of the sMRI and fMRI feature vectors. These 2 vectors are finally concatenated for the global classification. (Color version of figure is available online.)

After finding the optimal cutoff threshold per modality, both sMRI and fMRI feature vectors are concatenated together and fed to another random forest classifier for the global analysis decision. The 2 steps classification approach used is illustrated in Figure 4.

# Results

# Subjects Demographics and Cohort Summary Statistics

Table 2 shows the demographic summary statistics for each site for both the autistic and typically developing subjects. For each site, the number of males and females, age, verbal IQ, full IQ, and performance IQ statistics are included. The listed statistics are the minimum, maximum, mean, and standard deviation for both autistic and neurotypical subjects. Per-site distribution of people on the spectrum was significantly different across sites ( $\chi^2 = 251$ , 14 df, p < 10<sup>-6</sup>). Six sites provided research-reliable ADOS calibrated severity scores. There was a trend toward significant differences in mean severity among these 6 sites (F = 2.24, df = 5.224, p = 0.052). Four sites administered the Social Responsiveness Scale. Among these sites, differences between the autism and control groups varied (F = 2.95, df = 3,357, p = 0.0327). Two sites (LEUVEN and SBL) administered the Social Communication Questionnaire, and 2 (LEUVEN and SBL) administered the Autism-Spectrum Quotient. Differences between ASD and control groups were consistent between sites (interaction effect P = 0.550 and 0.996, respectively). Differences in full-scale IQ and verbal IQ between ASD and control varied significantly per site (F = 2.18, df = 15,1007, p = 0.0058 and F = 2.55, df = 11,892, p= 0.0035, respectively). Differences in performance IQ did not, however (P = 0.34).

# Local and Global Diagnosis

For each element in both structural and functional MRI feature matrices, the probability of this element belonging to the autism class is calculated. The output local probabilities form 2 matrices  $P_S$  and  $P_F$  with the same size as the feature matrices *F* and *S*.

$$P_{F} = \begin{bmatrix} p_{1,1}p_{1}1, 2\cdots \\ \vdots \\ p_{f}p_{f}116, 116 \end{bmatrix}$$
(3)

$$P_{S}f = \begin{bmatrix} P_{S_{1,1,f}}P_{S_{1,2,f}}\cdots \\ \vdots \\ P_{S_{68,1,f}}P_{S_{68,68,f}} \end{bmatrix}$$
(4)

Where  $pf_{ij}$  and  $Ps_{i, j, f}$  represent the probability of an element in the feature matrix of functional and structural feature matrices respectively to belong to the autism class. 
 Table 2 The Cohort Summary Statistics of Each Site in the Used Dataset

UCLA		ASD		
Males	48	Females	6	
	min.	max.	mean	s.d.
Age	8.36	17.94	13.0	2.4
VĪQ	67.0	132.0	101.6	14.0
FSIQ	67.0	128.0	98.2	18.7
PIQ	73.0	132.0	99.8	13.7
-		TD		
Males	38	Females	6	
	min.	max.	mean	s.d.
Age	9.21	17.79	13.0	1.9
VĬQ	86.0	127.0	107.1	11.4
FSIO	86.0	128.0	106.4	11.0
PIO	76.0	129.0	104.3	11.6

	ASD		
65	Females	10	
min.	max.	mean	s.d.
7.13	39.1	14.7	7.0
73.0	139.0	104.9	15.8
73.0	148.0	107.1	16.2
72.0	149.0	108.3	17.1
	TD		
74	Females	26	
min.	max.	mean	s.d.
6.47	31.78	15.7	6.1
80.0	143.0	112.8	12.6
80.0	142.0	113.0	13.3
67.0	137.0	110.2	13.9
	55 min. 7.13 73.0 72.0 74 min. 5.47 80.0 80.0 67.0	ASD           55         Females           min.         max.           7.13         39.1           73.0         139.0           73.0         148.0           72.0         149.0           TD         TD           74         Females           min.         max.           5.47         31.78           30.0         143.0           30.0         142.0           57.0         137.0	ASD           35         Females         10           min.         max.         mean           7.13         39.1         14.7           73.0         139.0         104.9           73.0         148.0         107.1           72.0         149.0         108.3           TD         TD           74         Females         26           min.         max.         mean           6.47         31.78         15.7           30.0         143.0         112.8           30.0         142.0         113.0           67.0         137.0         110.2

.....

Leuven		ASD		
Males	26	Females	3	
	min.	max.	mean	s.d.
Age	12.1	32.0	17.8	4.9
VIQ	50.0	128.0	99.1	19.7
FSIQ	50.0	128.0	100.2	31.6
PIQ	74.0	149.0	103.7	16.5
-		TD		
Males	29	Females	5	
	min.	max.	mean	s.d.
Age	12.2	29.0	18.2	5.0
VĪQ	86.0	136.0	116.4	10.7
FSIQ	86.0	146.0	107.6	30.3
PIQ	84.0	155.0	108.0	12.9

Caltech		ASD		
Males	15	Females	4	
	min.	max.	mean	s.d.
Age	17.5	55.4	27.4	10.0
VIQ	80.0	135.0	107.7	15.0

#### A comprehensive framework for differentiating autism

### Table 2 (Continued)

Caltach				
Canteen		ASD		
FSIQ	80.0	133.0	108.2	12.2
PIQ	84.0	128.0	107.4	11.4
-		TD		
Males	14	Females	4	
	min.	max.	mean	s.d.
Age	17.0	56.2	28.0	10.6
VIQ	85.0	135.0	114.5	12.4
FSIQ	85.0	134.0	114.8	9.3
PIQ	96.0	129.0	111.8	9.2

#### MAXMUN

		ASD		
Males	21	Females	3	
	min.	max.	mean	s.d.
Age	7.0	58.0	26.1	14.6
VIQ	0	0	0.0	0.0
FSIQ	0	133.0	109.9	14.2
PIQ	99.0	122.0	111.5	9.4
-		TD		
Males	27	Females	1	
	min.	max.	mean	s.d.
Age	7.0	46.0	24.6	8.6
VĪQ	0	0	0.0	0.0
FSIQ	0	129.0	111.8	9.1
PIQ	83.0	126.0	110.9	13.4

#### FSIQ 0 125.0 112.1 9.2 PIQ 0 0 0.0 0.0 OHSU ASD 0 Males 12 Females min. s.d. max. mean Age 8.0 15.23 11.4 2.1 vĭǫ 0 0 0.0 0.0 FSIQ 0 132.0 106.0 21.0 PIQ 0 0 0.0 0.0 TD Males 14 Females 0 s.d. min. max. mean 8.2 11.99 10.1 1.1 Age VIQ 0 0 0.0 0.0 FSIQ 0 132.0 115.0 10.7 ΡIQ 0 0 0.0 0.0

ASD

12.77

0

Table 2 (Continued)

8.07

0

KKI

Age

VĮQ

Pitt

### OLIN

ULIN		ASD		
Males	16	Females	3	
	min.	max.	mean	s.d.
Age	11.0	24.0	16.5	3.3
VIQ	0	0	0.0	0.0
FSIQ	0	135.0	112.6	17.8
PIQ	0	0	0.0	0.0
		TD		
Males	13	Females	2	
	min.	max.	mean	s.d.
Age	10.0	23.0	16.7	3.5
VĮ	0	0	0.0	0.0
FSIQ	0	135.0	113.9	16.0
PIQ	0	0	0.0	0.0
ккі				
		ASD		
Males	16	Females	4	
	min.	max.	mean	s.d.
Age	8.09	12.54	10.0	1.4
VIQ	0	0	0.0	0.0
FSIQ	0	131.0	93.45	27.3
PIQ	0	0	0.0	0.0
		TD		
Males	20	Females	8	

Pitt		ASD		
Males	25	Females	4	
	min.	max.	mean	s.d.
Age	9.33	35.2	19.0	7.2
VIQ	81.0	132.0	107.0	13.5
FSIQ	81.0	131.0	110.2	14.3
PIQ	83.0	128.0	110.8	13.9
-		TD		
Males	23	Females	4	
	min.	max.	mean	s.d.
Age	9.44	33.24	18.9	6.5
VĨQ	88.0	132.0	107.7	10.8
FSIQ	88.0	130.0	110.1	9.2
PIQ	90.0	123.0	109.6	8.8

SBL		ASD		
Males	15	Females	0	
	min.	max.	mean	s.d.
Age	22.0	64.0	35.0	10.1
vio	93.0	133.0	110.4	11.9
FSIQ	93.0	125.0	109.2	12.2
PIQ	84.0	135.0	114.2	11.6
•		TD		
Males	15	Females	0	
	min.	max.	mean	s.d.
Age	20.0	42.0	33.7	6.4
vio	0	0	0.0	0.0
FSIQ	0	0	0.0	0.0
PIQ	0	0	0.0	0.0

1.1

0.0

10.0

0.0

mean

s.d.

max.

min.

8

SDSU		ASD		
Males	13	Females	1	
	min.	max.	mean	s.d.
Age	12.13	17.15	14.7	1.7
VĪQ	83.0	147.0	110.1	17.7
FSIQ	83.0	141.0	103.3	33.5
PIQ	81.0	140.0	109.7	15.8
•		TD		
Males	16	Females	6	
	min.	max.	mean	s.d.
Age	8.67	16.88	14.2	1.9
vio	87.0	126.0	106.7	10.2
FSIQ	87.0	126.0	108.1	10.3
PIQ	86.0	129.0	107.8	11.9
-				

	ASD			
5	Females	4		
nin.	max.	mean	s.d.	
.5	12.9	10.0	1.6	
2.0	149.0	108.3	19.9	
2.0	141.0	110.7	15.7	
9.0	129.0	110.6	12.1	
	TD			
6	Females	4		
nin.	max.	mean	s.d.	
.8	12.4	10.0	1.6	
7.0	144.0	111.2	19.2	
7.0	136.0	112.1	15.0	
1.0	145.0	110.6	15.2	
	5 nin. 2.5 2.0 9.0 6 nin. .8 7.0 7.0 11.0	ASD           5         Females           nin.         max.           15.         12.9           2.0         149.0           2.0         141.0           9.0         129.0           TD         6           Females           nin.         max.           .8         12.4           7.0         144.0           7.0         136.0           11.0         145.0	ASD           5         Females         4           nin.         max.         mean           3.5         12.9         10.0           2.0         149.0         108.3           2.0         141.0         110.7           9.0         129.0         110.6           TD         6         Females         4           nin.         max.         mean           .8         12.4         10.0           7.0         144.0         111.2           7.0         136.0         112.1           11.0         145.0         110.6	

#### Trinty

		ASD			
Males	22	Females	0		
	min.	max.	mean	s.d.	
Age	12.0	23.08	16.8	3.1	
VIQ	85.0	135.0	107.9	14.0	
FSIQ	85.0	135.0	105.3	29.2	
PIQ	63.0	131.0	107.6	15.3	
		TD			
Males	25	Females	0		
	min.	max.	mean	s.d.	
Age	12.04	25.66	17.1	3.7	
VIQ	81.0	137.0	109.6	13.4	
FSIQ	81.0	133.0	110.9	12.0	
	84.0	132.0	110.3	10.7	

	ASD			
57	Females	9		
min.	max.	mean	s.d.	
8.5	18.6	13.2	2.4	
75.0	180.0	108.7	19.9	
75.0	147.5	105.5	17.1	
	57 min. 8.5 75.0 75.0	ASD           57         Females           min.         max.           8.5         18.6           75.0         180.0           75.0         147.5	ASD           57         Females         9           min.         max.         mean           8.5         18.6         13.2           75.0         180.0         108.7           75.0         147.5         105.5	

UM		ASD			
PIQ 59.0		148.0 TD	102.6	19.9	
Males	56	Females	18		
	min.	max.	mean	s.d.	
Age	8.2	28.8	14.8	3.6	
VIQ	86.0	147.0	113.6	12.7	
FSIQ	86.0	129.0	108.2	9.7	
PIQ	72.0	127.0	103.1	12.1	
USM		ASD			
Males	46	Females	0		
	min.	max.	mean	s.d.	
Age	11.4	50.2	23.5	8.2	
VIQ	55.0	130.0	95.0	19.1	
ECIO	55.0	132.0	97.4	21.9	
rəiQ			•		
PIQ	72.0	133.0 TD	104.7	16.5	
PIQ Males	72.0 25	133.0 TD Females	104.7 0	16.5	
PIQ Males	72.0 25 min.	133.0 TD Females max.	104.7 0 mean	16.5 s.d.	
PIQ Males Age	72.0 25 min. 8.8	133.0 TD Females max. 39.4	104.7 0 mean 21.3	16.5 s.d. 8.2	
PIQ Males Age VIQ	72.0 25 min. 8.8 87.0	133.0 TD Females max. 39.4 140.0	104.7 0 mean 21.3 113.6	s.d. 8.2 15.7	
PIQ Males Age VIQ FSIQ	72.0 25 min. 8.8 87.0 87.0	133.0 TD Females max. 39.4 140.0 144.0	104.7 0 mean 21.3 113.6 115.4	16.5 s.d. 8.2 15.7 14.8	

1 410		ASD		
Males	20	Females	8	
	min.	max.	mean	s.d.
Age	7.0	17.75	12.7	3.0
VIQ	42.0	143.0	96.5	22.7
FSIQ	42.0	141.0	94.6	21.2
PIQ	37.0	126.0	92.3	18.9
-		TD		
Males	20	Females	8	
	min.	max.	mean	s.d.
Age	7.66	17.83	12.7	2.7
VIQ	73.0	140.0	106.8	15.7
FSIQ	73.0	140.0	105.0	17.1
PIQ	76.0	139.0	101.3	16.2

To obtain the personalized maps, 2 vectors,  $V_f$  and  $V_s$  are calculated for fMRI and sMRI, respectively.

 $V_{f}(i) = \max_{0 \le j \le 116} P_{F}(i,j) \tag{5}$ 

These 2 vector entries holds the highest calculated probability of a feature to be belonging to the autism class. A sample of these color-coded maps is shown in Figure 5.



**Figure 5** A sample of the generated personalized maps for 8 subjects: (a, b) are the personalized maps of 2 ASD subjects obtained from sMRI local classification, (c, d) are the personalized maps of 2 ASD subjects obtained from fMRI local classification, (e, f) are the personalized maps of 2 TD subjects obtained from sMRI local classification, and (g, h) are the personalized maps of 2 TD subjects obtained from fMRI local classification. (Color version of figure is available online.)

# Per Modality Diagnosis Results

After calculating the local probabilities from the first step,  $P_S$  and  $P_F$ , they are then sorted according to their local accuracy. A linear search is then performed to get the optimal length of the concatenated feature vector for sMRI and fMRI. Tables 3 and 4 shows the accuracy, sensitivity, and specificity obtained from each site and the optimal length of the feature vector for this site.

The best results for sMRI were obtained with data derived from the "CMU," "OHSU," and "SBL" sites, where all subjects were correctly diagnosed. The lowest accuracy was derived from the "NYU" site. For the fMRI, the "OLIN" site achieved the best results, with all subjects correctly classified. The lowest accuracy was again derived from the "NYU" site. In order to achieve the highest accuracy, the maximum number of concatenated features was 75 for sMRI and 99 for fMRI.

# Discussion

Autism is a disorder whose diagnosis is based on behavioral findings. Ever since its inception as a clinical entity, its recognition was contingent on the clinical skills of the interviewer and the subjective interpretation of his/her findings. This perspective was a throwback to the influence exerted by Adolf Meyer on his protégé Leo Kanner.<sup>52</sup> Meyer's conception of psychobiology depended on collecting detailed case histories that considered the social, environmental, and medical factors that facilitated abnormal behaviors. Following the psychobiological precepts, Kanner reported copious clinical histories on 11 young children (8 boys and 3 girls, all

younger than 11 years) initially diagnosed with childhood schizophrenia but who also exhibited an autistic withdrawal.<sup>53</sup> Kanner went on to describe precedents for similar children within the medical literature. Based primarily on his clinical acumen, and further patient documentation, he advocated for autism as a sui generis developmental disorder. The mode of diagnosis thus pursued by Kanner and his followers necessitated the instantiation of behaviors that sometimes were age dependent. This incurred in a delay for both obtaining a diagnosing and therapeutic implementation until such behaviors were abundantly clear. Furthermore, without any pathognomonic symptoms, behaviors lent themselves to multiple interpretations creating a diagnostic spectrum that included atypical presentations. The inherent lack of clinical homogeneity in any given subject sample has clouded research efforts for most of the recorded history of autism. The last decade has brought advancements in both anatomical and physiological assessment techniques, which allow us to combine insights from clinical observations with laboratory test results.

In this study, an algorithm combining evidence from both structural and functional MRI revealed an accuracy, sensitivity, and specificity in differentiating autism from neurotypicals that ranged from 0.7 to 1. One weakness of the study is that no other neurodevelopmental condition was tested. It is thus possible that the specificity of the algorithm would diminish when adding other groups that are on the differential diagnosis of autism, for example, attention-deficit/hyperactivity disorder, spatial ataxia, and speech delay. Although not diagnostic, the results suggest the improved predictability of an autism diagnosis while still pending behavioral manifestations of the disorder. This may be of special use in 10

Site		Number of Features			
	Accuracy	Sensitivity	Specificity	AUC	
UCLA	0.898	0.972	0.855	0.950	72
NYU	0.749	0.733	0.782	0.787	53
Leuven	0.921	0.914	0.929	0.935	14
CMU	1	1	1	1	13
Caltech	0.946	0.900	1	0.980	59
MaxMun	0.962	0.964	0.958	0.969	23
Olin	0.912	0.875	0.944	0.958	13
KKI	0.9167	0.900	0.944	0.938	30
OHSU	1	1	1	1	5
Pitt	0.946	0.962	0.933	0.963	35
SBL	1	1	1	1	10
SDSU	0.917	0.913	0.923	0.932	25
Stanford	0.897	0.944	0.857	0.942	23
Trinity	0.915	0.920	0.909	0.943	76
UM	0.814	0.800	0.833	0.843	27
USM	0.901	0.950	0.882	0.922	21
Yale	0.946	0.931	0.963	0.987	75

Table 3 The Obtained Accuracy, Sensitivity, Specificity and Area Under ROC Curve of the sMRI Experiment and the Corresponding Number of the Concatenated Feature

 Table 4 The Obtained Accuracy, Sensitivity, Specificity and Area Under ROC Curve of the fMRI Experiment and the Corresponding Number of the Concatenated Feature

Source		Number of Features			
	Accuracy	Sensitivity	Specificity	AUC	
UCLA	0.836	0.804	0.865	0.855	99
NYU	0.794	0.796	0.790	0.817	96
Leuven	0.952	0.942	0.964	0.993	81
CMU	0.925	0.923	0.928	0.972	19
Caltech	0.972	0.947	1	0.985	83
MaxMun	0.865	0.8	1	0.904	17
Olin	1	1	1	1	20
KKI	0.958	0.933	1	0.978	45
OHSU	0.946	0.813	0.900	0.863	16
Pitt	0.892	0.920	0.870	0.919	94
SBL	0.966	0.937	1	0.991	43
SDSU	0.916	0.880	1	0.89	22
Stanford	0.974	1	0.950	1	50
Trinity	0.978	1	0.956	0.994	76
UM	0.785	0.775	0.800	0.860	88
USM	0.887	0.947	0.865	0.916	43
Yale	0.964	0.964	0.964	0.965	65

evaluating at risk children, for example, infants with an older diagnosed sibling, cases of extreme prematurity, intrauterine viral infections. It is generally accepted that early detection and therapeutic intervention in these cases may lead to better outcomes.<sup>54</sup> Previous studies in the literature<sup>20</sup> suggest that adding elements of the clinical history to our algorithm may improve the accuracy of the results; a line of inquiry that we are presently pursuing. Furthermore, the ability of the algorithm to provide regionalized assessments according to brain parcellation gives the added advantage of guiding potential

therapeutic interventions by targeting affected sites. A patient whose primary abnormalities arise from the prefrontal lobes may gain from therapies, reward systems, and classroom accommodations built to strengthen executive skills. Alternatively, a patient whose primary abnormalities arise from the language regions of the brain may similarly gain from speech therapy. This interesting line of inquiry, as to differing potential interventions based on gross anatomical findings, to our knowledge, has not been previously pursued in the autism literature.

#### A comprehensive framework for differentiating autism

This study extends our previous fMRI work,<sup>29,55,56</sup> to suggest that some anatomical MRI parameters related to brain anatomy and morphology including curvature, SA, and volume are relevant to defining ASD related circuitry abnormalities.<sup>57</sup> In essence, the aforementioned parameters stand as anthropometric indices of brain connectivity.<sup>58</sup> Neocorticalization in primates has progressed through the expansion of axonal mass, rather than an increase in the total number of cortical neurons.<sup>59</sup> Changes in the size and shape of the cerebral cortex have concurrently given rise to the altered arrangement of white matter fibers, and alterations in the gray/white matter.<sup>58</sup>

It is generally accepted that the results of a study depend on the quality of the data collected. Data from our study was obtained from an international depository created by numerous brain imaging laboratories. Each contributing partner made the decision as to how best collect data based on their ongoing research interests. Inclusionary and exclusionary criteria for participation varied across imaging sites. Differences in diagnostic criteria, age of participants, comorbidities, and concomitant use of medical and alternative treatments could account for added variability and shifting of the statistical distribution away from mean values. Indeed, although all of the centers reporting data in the present study used DSM-IV-TR (De Bildt) diagnostic criteria, the subject population fell along different points of the clinical spectrum. A per site distribution of autistic subjects (autism vs Asperger vs PDD-NOS) in our study showed significant differences  $(\chi^2 = 251, 14 \text{ d.f.}, P < 10^{-6})$ . We approached this problem by implementing a per subject normalization algorithm that used the difference between areas (Delta matrix) as opposed to singular regional values. The procedural pipeline implementing this normalization is depicted in Figure 2.

The purpose of this study was not to perform a meta-analysis. The lack of standardized eligibility criteria would have prevented the analysis of data from the different sites selected. However, this same variability, both among subjects and MRI protocols, defines the type of imaging study brought to the attention of a clinician in his daily practice. The fact that our algorithm was able to attain a high degree of accuracy in the midst of this variability provides an attestation as to its potential clinical value. In effect, the diagnostic effect of our algorithm was consistent across different sites, thus adding power to the potential conclusion drawn from a single study. Despite the high dimensional feature space inherent in our study, the algorithm reported in this study successfully captured discriminating features across the entire dataset.

To the best of our knowledge, this is the first study to include all ABIDE dataset sites, and perform local analysis on the connectivity features extracted from each individual pair of areas in order to complete a whole brain connectivity analysis. This analysis can be used to provide a personalized map per subject to show affected brain areas and to gage the probability of a diagnostic difference when comparing autistic individuals to controls.

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